

08/13/2015	<input type="checkbox"/> Copy
	<input type="checkbox"/> Scanned/File Original
	<input type="checkbox"/> PDF Posted to Web
	<input type="checkbox"/> Reviewed by PA
	<input type="checkbox"/> Record in Database

CANDIDATE/INCUMBENT FINANCIAL DISCLOSURE STATEMENT

City of Boulder Form 13-2-3, BRC, 1981

- | | |
|---|--|
| <input checked="" type="checkbox"/> Candidate | <input checked="" type="checkbox"/> Within 3 days of Candidate's Petition is Certified |
| <input type="checkbox"/> Incumbent | <input type="checkbox"/> Annual April 15 filing with changes |
| | <input type="checkbox"/> Annual April 15 filing – no changes since last filing of this statement |

Cynthia Anne Carlisle

Print Full Legal Name

Address <i>411 Spruce Street</i>		
City: Boulder	State: CO	Zip <i>80302</i>

The information contained in this filing is true and correct to the best of my knowledge.

<i>Cynthia A. Carlisle</i>	<i>8/13/2015</i>
----------------------------	------------------

Signature of Candidate or Incumbent

Date Submitted

EMPLOYER

- If you are self-employed, or employed as a partner in a professional firm, so state, and state trade or business names you use and the name of the professional firm you work with.
- If you have more than one job during the present calendar year, state all your employers.
- If you are a homemaker, so state.
- If you have no employment, so state.

self employed

OCCUPATION

State your occupation.

- If retired or unemployed, state your occupation when you were working.
- If you are a student or a homemaker, so state.
- If you have more than one occupation, state all of them.
- If you have a profession or skill which you have used in the past, but are not now using in your job, you may state that additionally.

retired; part time rental management;
non compensated public service

SOURCE OF INCOME

State the type and source of any other income in excess of one thousand dollars during the twelve months preceding the date of filing, *besides your employer*. Each source of income must be listed, regardless of its tax status. Sources must be listed specifically; that is, *stocks* would be insufficient; you must state *stock in XYZ Company*. The type of income can be stated generally; *capital gain, dividend, rent, etc.* You are not required to disclose the amount of income from any source, but you may if you wish. If you are self-employed state the source of any other income in excess of one thousand dollars that you received during the twelve months preceding the date of filing. **Note:** Do not disclose the names of clients or others to whom you have a legal duty of confidentiality without their permission. A legal duty of confidentiality is one in which you could invoke the right to refuse to answer a question under oath in a trial, like a lawyer-client privilege.

Source	Type
stocks	see attached
social security	
rental, HI	VRBO rental income

BUSINESS INTERESTS

State the name, location, and nature of activity of any business entities or enterprises for profit, with holdings of real or personal property or with business dealings in the area encompassed by the Boulder Valley Comprehensive Plan (BVCP), in which you have any financial interest, or in which you are actively engaged as an officer, director, or partner.

For each, state the nature of your interest or activity therewith, and state whether you have controlling interest in the entity. *Business entity* means any type of business: sole proprietorship, joint venture, partnership, corporation, trust holding or investment company, professional corporation, etc. It is immaterial whether the business is making money; if it was founded with that intent, it must be listed. Also, note that the business must be listed if it has any property, or any business dealings, within the BVCP, but that those holding and dealings do not have to be set forth (real estate holdings of businesses in which you have a controlling interest must be disclosed in the next question, however). The nature of your involvement should be stated with a degree of specificity; that is, state *corporate vice president* rather than simply *officer*. The amount of financial involvement need not be disclosed, but you may if you wish.

Organization's Name			Nature of Activity of Business	Controlling Interest <input type="checkbox"/> YES <input type="checkbox"/> NO
Address				
City	State	Zip	Nature of Your Interest in Business	<input type="checkbox"/> YES <input type="checkbox"/> NO
Organization's Name				
Address			Nature of Activity of Business	Controlling Interest <input type="checkbox"/> YES <input type="checkbox"/> NO
City	State	Zip		
Organization's Name			Nature of Activity of Business	Controlling Interest <input type="checkbox"/> YES <input type="checkbox"/> NO
Address				
City	State	Zip	Nature of Your Interest in Business	<input type="checkbox"/> YES <input type="checkbox"/> NO

REAL ESTATE INTERESTS

State the location of any real property within Boulder County in which you, or the business entities you have a controlling interest in, have any interest. Also state the nature of your or your business entity's interest. All interests, including options, remainders, mineral rights, etc., no matter what their value, must be listed. You need not state the value of the interest, but you may do so if you wish.

Note: If there is no street address, state the lot number and subdivision. If none, state the size of the parcel, the quarter section it is in, and the recording number of other place where the legal description can be found.

Owner's Name <i>Cynthia Carlisle</i>			INTEREST OWNED BY	
Street Address <i>41 Spruce St.</i>			<input checked="" type="checkbox"/> Self	
City <i>Boulder</i>	State <i>CO</i>	Zip <i>80302</i>	<input type="checkbox"/> A trust of which I am a beneficiary	
			<input type="checkbox"/> A business entity I control/manage	
Owner's Name			INTERESTED OWNED BY	
Street Address			<input type="checkbox"/> Self	
City	State	Zip	<input type="checkbox"/> A trust of which I am a beneficiary	
			<input type="checkbox"/> A business entity I control/manage	
Owner's Name			INTERESTED OWNED BY	
Street Address			<input type="checkbox"/> Self	
City	State	Zip	<input type="checkbox"/> A trust of which I am a beneficiary	
			<input type="checkbox"/> A business entity I control/manage	

OTHER INFORMATION

State any other information which you feel would be helpful or should be disclosed. If there is no such information, write *none*.

None

I have attached additional sheets for information that exceeded the space provided on this form.

2 Number of Additional Pages.



Schwab One® Account



Schwab One® Account

Investment Detail

Description	Sy.
Cash, Money Market Fund (Sweep)	
SCHWAB GOVT MONEY FUND	SV
Investments	
BIOMERIEV INC	BII
GILEAD SCIENCES INC	GI
GOOGLE INC CLASS A	GC
GOOGLE INC CLASS C	GC
	T

Transaction Detail

Settle Trade		Date	Transaction	Description
Investments Activity				
04/09	04/06	Sold		NOODLES & COMP
04/20	04/15	Bought		BIOMERIEV INC: BII

Money Funds Detail

Income Summary

Description
Federally Taxable
Deposit Accounts Interest
Cash Dividends

Total Income

Investment Detail

Description	Symbol
Cash, Money Market, and Deposit Accounts	
DEPOSIT ACCOUNTS X,Z	
CASH "	
Investments	
PARNASSUS FIXED INCOME FUND	PRFIX
PAX WORLD HIGH YIELD BD FD INDIVIDUAL INV CL	PAXHX
AMANA GROWTH FUND INV	AMAGX
GABELLI SRI FUND AAA	SRIGX
PARNASSUS CORE EQTY FD INV	PRBLX
PAX ELLEVATE GBL WOMENS INDX IND INV CL	PXWEX
PAX WLD GBL ENVIRONMENTL MKTS INDVL INV	PGRNX
PAX WORLD BALANCED FD INDIVIDUAL INV CL	PAXWX
SHELTON GREEN ALPHA FD	NEXTX
SCHWAB SHORT TERM US TREASURY ETF	SCHO
SCHWAB US TIPS ETF	SCHP

Total Account Value

Transaction Detail

Settle Trade		Date	Transaction	Description
Cash, Money Market, and Deposit Accounts Activity				
04/07	04/07	Advisor Fee		MGMTFEE TO ADVISOR
04/08	04/08	Cash Dividend		SCH ST US TRSR ETF: SCHO
04/16	04/15	Bank Interest X,Z		BANK INT 031615-041515



RAYN

RAYN

Mutual Funds (continued)

Open-End Funds (continued)

Description (Symbol)	
THORNBURG DEVELOPING WORLD FUND CLASS A M/F (THDAX)	1.
Open-End Funds Total	
Mutual Funds Total	

Cash & Cash Alternative:

Raymond James Bank De

Description	(Symbol)
Raymond James Bank Deposit Program	
Regions Bank	
Raymond James Bank N.A.	
Raymond James Bank Deposit Program	
Your bank priority state: CO	
Participating banks recently added: Synchro	
Cash & Cash Alternatives Total	

*Please See the Raymond James Bank Depos

Mutual Funds

Open-End Funds

Description (Symbol)	
AMG SOUTHERNSUN U.S. EQUITY INVESTOR CLASS N/L (SSEFX)	1.0
AMG YACKTMAN FUND SERVICE CLASS N/L - MANAGERS (YACKX)	9.
ALLIANCE BERNSTEIN HIGH INCOME FUND CLASS A M/F (AGDAX)	4.17
ARTISAN MID CAP VALUE FUND INVESTOR CLASS N/L (ARTQX)	37.
BARON OPPORTUNITY FUND RETAIL CLASS N/L (BIOPX)	49.

Mutual Funds (continued)

Open-End Funds (continued)

Description (Symbol)	
COLUMBIA DIVIDEND OPPORTUNITY FUND CLASS Z N/L (CDOZX)	5
DWS MANAGED MUNICIPAL BOND FUND CLASS A M/F (SMLAX)	7
DAVIS FINANCIAL FUND CLASS A M/F (RPFGX)	
EUROPACIFIC GROWTH FUND CLASS F1 - AMERICAN FUNDS N/L (AEGFX)	
MATTHEWS ASIA DIVIDEND FUND INVESTOR CLASS N/L (MAPIX)	1
PARNASSUS ENDEAVOR FUND N/L (PARWX)	
PAX WORLD SMALL CAP FUND INDIVIDUAL INVESTOR CLASS N/L (PXSCX)	
PUTNAM DIVERSIFIED INCOME TRUST FUND CLASS A M/F (PDINX)	
T. ROWE PRICE TAX FREE SHORT INTERMEDIATE FUND N/L (PRFSX)	
ROYCE SPECIAL EQUITY FUND INVESTMENT CLASS N/L (RYSEX)	
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A M/F (TIBAX)	

