

**CITY OF BOULDER
BEVERAGES LICENSING AUTHORITY
CHECKLIST OF REQUIRED DOCUMENTS**

FOR CITY AND STATE LICENSING AUTHORITIES
REGARDING ALL 3.2% BEER AND LIQUOR APPLICATIONS

All documents must be properly executed, fully complete, and must correspond EXACTLY with the name of applicant. All documents must be typed or legibly printed in ink. YOU MUST SUPPLY THE ORIGINAL AND ONE (1) COPY OF THE ENTIRE APPLICATION (a duplicate must be single sided with no staples). You must schedule an appointment to submit your complete application prior to or on the deadline date. Please call at least 3-4 days before you wish to submit, as appointments on the deadline date tend to fill up fast. This is Checklist for New Licenses, Ownership Transfers, & Class Changes.

Put the application in the following order and have two separate packets:

A. APPLICATION

- State Application (DR8404) - Complete in all appropriate sections and signed by an authorized representative
- State "Affidavit of Transfer and Statement of Compliance" and City Transfer Form - If Applicable, complete in all appropriate sections, signed by all parties
- City Application - Complete in all appropriate sections, question 1-19 answers attached and signed by an authorized representative
- Zoning/Planning Confirmation Sheet Prior to License Application Acceptance

B. PROOF OF POSSESSION OF PROPERTY

- Deed or lease - Properly executed by all parties with all attachments and addendums. (Must be on 8 ½ x 11 paper)
- Assignment of Lease - Properly executed by all parties. You must also submit the original lease and all attachments and addendums. (Must be on 8 ½ x 11 paper)

C. FINANCIAL DOCUMENTS

- City Financial Statement - Complete in all appropriate sections, listing all investment required to file license application and signed by an authorized representative
- Purchase Agreement - Properly executed by all parties
- Notes & Loans - All assumed, bank or previous owner. Properly executed by all parties.

D. CORPORATE DOCUMENTS (If applicable)

- Articles of Incorporation** - Must be stamped by the Secretary of State. Articles are required for all new corporations that are less than 2 years old
- Certificate of Good Standing** - Only needed if the corporation has been in existence for more than 2 years
- Certificate of Authority** - If foreign company
- Minutes of First Meeting** - For new corporations that are less than 2 years old
- List of all officers, directors & stockholders of parent corporation - If applicable

E. PARTNERSHIP DOCUMENTS (If applicable)

- Partnership agreement** (not needed if husband and wife)
- Dissolution of partnership** (if applicable)

F. LIMITED LIABILITY COMPANY DOCUMENTS (If applicable)

- Articles of Organization** - Must be stamped by the Secretary of State
- Certificate of Good Standing** - Only needed if the corporation has been in existence for more than 2 years
- Certificate of Authority** - If foreign company
- Copy of Operating Agreement**

G. BACKGROUND INFORMATION

- Individual History Record (DR 8404-I)** - This form is required for each registered manager; sole owner, partner, or officer, director or stockholder of corporation and all owners with over a 10% interest in the application.
- Fingerprint Cards** - These must be on standard fingerprint cards but the prints can be taken at any local Police Department or Sheriff's Office in the United States.

H. REGISTERED MANAGER (If Applicable)

Hotel-Restaurant and Tavern type license applications with a separate manager (not a part of ownership), must also submit a \$75.00 fee to both the City and the State.

- Individual History Record (DR 8404-I)**
- Fingerprint Card**

I. PHYSICAL INFORMATION

- Floor Plan** - Must be submitted on 8 ½ x 11 paper and must include all items referred to in Section 3-3 et seq. of "Rules of Procedure." Use a highlighter to indicate the area(s) you want licensed

J. OTHER INFORMATION

- Statement of Food Service** - If applicable
- Statement of Training** – Complete in all sections and signed by an authorized representative
- City Occupation Tax Registration** -- Complete in all sections and signed by an authorized representative. Effective immediately, all outstanding amounts must be paid prior to submittal of a transfer application. All new licenses will be prorated and collected prior to issuance of the liquor license.
- Petition** - Applicable to applications for new licenses, requests to change location, and, possibly, requests to change, alter or modify premises. City created and Applicant prepared petition is due in City Licensing Office (9) days prior to the hearing.
- City Temporary Application** - Optional for Transfer of Ownership applications only. Application must be submitted within 30 days of original complete application. Temporary licenses are issued at the discretion of the City of Boulder within 5 business days of submission of a complete application packet. Temporary licenses will NOT be issued if there are any modifications to the premises.
- Other:** (Sole Proprietor Lawful Presence Affidavit w. DL, Class Change Surrender Consent)

K. FEES

- State Application & License Fees** \$ _____ - Made payable to the "Colorado Department of Revenue"
 - City Application & License Fees** \$ _____ - Made payable to "City of Boulder"
 - Background Investigation Fees** \$ _____ (\$38.50 per fingerprint card)
- Made payable to "City of Boulder" (pre-printed business checks or certified funds).
- 1 = \$38.50 2 = \$77.00 3 = \$115.50 4 = \$154.00 5 = \$192.50 6 = \$231.00

STATE APPLICATIONS DETAILED REVIEW, please check off and review the following:

In the state application, does the Applicant name of the individual or business entity listed on question 2 match exactly the name of the Tenant name in question 11, and also the Tenant/Lessee in the submitted Lease and the Applicant name in all city application documents?

Has the Applicant gone through page 2 of the state application to ensure that all of those documents are included and questions addressed?

In the state application, under question 12, does your landlord or a manager get paid a percentage based on sales of your new business either in the Lease or in a Management Agreement? If so, then the person or entity name should be listed under question 12.

In the state application under question 19, the total percentage of all owners listed under this question or detailed in an attached list where this question is marked "see attached" should total 100% ownership.

In the Individual History Record form for each owner, officer or manager:

I) under question 14 (a)- the answer should list the total purchase price or total initial investment (this will be the same for all owners or investors),

II) under question 14 (b), this blank should list the value of the individual owner's contribution to the total purchase price or total initial investment and if all numbers in all owners listed in answers to questions 14(b) should total the whole,

III) under question 14 (c), the source of the funds should be listed (cash, savings, home loan, 401K pay out etc) and the Bank Name where the funds are held should also be listed, and

IV) question 14 (d) all loans, including construction reimbursement by landlords, should be listed here and loan documents explaining the repayment of loans should be attached to each form.

Premise diagrams should be detailed, including a separate page for each floor of the proposed licensed premise, and should also include, room dimensions, doors, walls, all locked and unlocked alcohol storage, point of sales areas, bars, tables and chairs/booths, patios, means of control (fencing, stationed employees, sightlines, landscaping), and for restaurants, the kitchen should be marked as "Kitchen" and should show kitchen equipment. The entire license premise should be surrounded with a bold, red line to show what is proposed to be license and what is excluded from licensure.

L. REQUEST TO CHANGE, ALTER OR MODIFY THE PREMISES

If applicant is applying for Transfer of Ownership and will be making changes to premise (including but not limited to: increasing/decreasing seating capacity, adding/moving bar, adding or deleting a patio, removal of any interior walls, etc.) then they are required to also submit the following:

- Permit Application & Report of Changes (DR8442)**
- Proof of Possession - Of the new area to be licensed**
- "Before" Floor Plan - Must be submitted on 8-1/2" x 11" paper and must include all items referred to in Rule 11 of the "Rules of Procedure." Use a highlighter to indicate the area(s) that are currently licensed.**
- "After" Floor Plan - Must be submitted on 8-1/2" x 11" paper and must include all items referred to in Rule 11 of the "Rules of Procedure." Use a highlighter to indicate the area(s) that you want to have licensed.**
- Zoning/Planning Confirmation Sheet Prior to Application.**

M. FEES

- The State Application Fee \$150.00 - Made payable to the "Colorado Department of Revenue"**
- City Application Fee**
 - Temporary Modification Fee \$50.00 - Made payable to the "City of Boulder"**
 - Permanent Modification Fee \$100.00 - Made payable to the "City of Boulder"**

2016 SCHEDULE OF HEARINGS AND APPLICATION DEADLINE DATES FOR LIQUOR LICENSES

You must schedule an appointment with City Licensing to submit your complete application prior to or on the deadline date. Please call 303-441-4192 at least 3-4 days before you wish to submit to schedule a meeting, as appointments on deadline dates tend to fill up fast. Maintenance of this docketing schedule is necessary to ensure timing of proper public notice and premise posting.

For 2016, deadline dates are generally on the 3rd Monday of each month. Beverage Licensing Authority public hearings occur on the 3rd Wednesday of every month and begin at 3PM.

**Where noted, deadline dates for application filing will be changed to Tuesdays to accommodate city holidays.

Deadline to submit application by appointment only with Manager	Date of scheduled public hearing of application before Boulder's Beverages Licensing Authority
December 21, 2015	February 17, 2016
**January 19, 2016	March 16, 2016
** February 16, 2016	April 20, 2016
March 21, 2016	May 18, 2016
April 18, 2016	June 15, 2016
May 16, 2016	July 20, 2016
June 20, 2016	August 17, 2016
July 18, 2016	September 21, 2016
August 15, 2016	October 19, 2016
September 19, 2016	November 16, 2016
October 17, 2016	December 14, 2016 (2 nd Wednesday)
November 14, 2016	January 18, 2017
December 19, 2016	February 15, 2017

2016 LIQUOR LICENSE FEE SCHEDULE

Effective July 1, 2016

Checks should be made payable to the "City of Boulder" and the "Colorado Department of Revenue"

Liquor License Type	City Application Fee	City License Fee	Total City Fees	City Occupation Tax	State Application Fee	State License Fee	Total State Fees
Arts							
New	\$1,000.00	\$41.25	\$1,041.25	\$1,284.00	\$920.00	\$308.75	\$1,228.75
Transfer	\$750.00	\$41.25	\$791.25	\$1,284.00	\$920.00	\$308.75	\$1,228.75
Concurrent Review	\$750.00	\$41.25	\$1,041.25	\$1,284.00	\$1,020.00	\$308.75	\$1,328.75
Renewal	\$100.00	\$41.25	\$141.25	\$1,284.00	\$0.00	\$308.75	\$308.75

Art Gallery Permit							
New	\$100.00	\$3.75	\$103.75	\$0.00	\$50.00	\$21.25	\$71.25
Transfer	\$100.00	\$3.75	\$103.75	\$0.00	\$50.00	\$21.25	\$71.25
Concurrent Review	\$100.00	\$3.75	\$103.75	\$0.00	\$50.00	\$21.25	\$71.25
Renewal	\$100.00	\$3.75	\$103.75	\$0.00	\$0.00	\$71.25	\$71.25

Bed & Breakfast Permit							
New	\$100.00	\$25.00	\$125.00	\$0.00	\$50.00	\$25.00	\$75.00
Transfer	\$100.00	\$25.00	\$125.00	\$0.00	\$50.00	\$25.00	\$75.00
Concurrent Review	\$100.00	\$25.00	\$125.00	\$0.00	\$50.00	\$25.00	\$75.00
Renewal	\$100.00	\$25.00	\$125.00	\$0.00	\$0.00	\$50.00	\$50.00

Beer & Wine							
New	\$1,000.00	\$48.75	\$1,048.75	\$1,085.00	\$920.00	\$351.25	\$1,271.25
Transfer	\$750.00	\$48.75	\$798.75	\$1,085.00	\$920.00	\$351.25	\$1,271.25
Concurrent Review	\$750.00	\$48.75	\$1,048.75	\$1,085.00	\$1,020.00	\$351.25	\$1,371.25
Renewal	\$100.00	\$48.75	\$148.75	\$1,085.00	\$0.00	\$351.25	\$351.25

Brew Pub							
New	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$920.00	\$750.00	\$1,670.00
Transfer	\$750.00	\$75.00	\$825.00	\$3,253.00	\$920.00	\$750.00	\$1,670.00
Concurrent Review	\$750.00	\$75.00	\$1,075.00	\$3,253.00	\$1,020.00	\$750.00	\$1,770.00
Renewal	\$100.00	\$75.00	\$175.00	\$3,253.00	\$0.00	\$750.00	\$750.00

Club							
New	\$1,000.00	\$41.25	\$1,041.25	\$1,284.00	\$920.00	\$308.75	\$1,228.75
Transfer	\$750.00	\$41.25	\$791.25	\$1,284.00	\$920.00	\$308.75	\$1,228.75
Concurrent Review	\$750.00	\$41.25	\$1,041.25	\$1,284.00	\$1,020.00	\$308.75	\$1,328.75
Renewal	\$100.00	\$41.25	\$141.25	\$1,284.00	\$0.00	\$308.75	\$308.75

Liquor Licensed Drugstore							
New	\$1,000.00	\$22.50	\$1,022.50	\$988.50	\$920.00	\$227.50	\$1,147.50
Transfer	\$750.00	\$22.50	\$772.50	\$988.50	\$920.00	\$227.50	\$1,147.50
Concurrent Review	\$750.00	\$22.50	\$1,022.50	\$988.50	\$1,020.00	\$227.50	\$1,247.50
Renewal	\$100.00	\$22.50	\$122.50	\$988.50	\$0.00	\$227.50	\$227.50

Hotel-Restaurant							
New	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$920.00	\$500.00	\$1,420.00
Transfer	\$750.00	\$75.00	\$825.00	\$3,253.00	\$920.00	\$500.00	\$1,420.00
Concurrent Review	\$750.00	\$75.00	\$1,075.00	\$3,253.00	\$1,020.00	\$500.00	\$1,520.00
Renewal	\$100.00	\$75.00	\$175.00	\$3,253.00	\$0.00	\$500.00	\$500.00

Liquor License Type	City Application Fee	City License Fee	Total City Fees	City Occupation Tax	State Application Fee	State License Fee	Total State Fees
Retail Liquor Store							
New	\$1,000.00	\$22.50	\$1,022.50	\$988.50	\$920.00	\$227.50	\$1,147.50
Transfer	\$750.00	\$22.50	\$772.50	\$988.50	\$920.00	\$227.50	\$1,147.50
Concurrent Review	\$750.00	\$22.50	\$1,022.50	\$988.50	\$1,020.00	\$227.50	\$1,247.50
Renewal	\$100.00	\$22.50	\$122.50	\$988.50	\$0.00	\$227.50	\$227.50
Tastings Permit	\$0.00	\$50.00	\$50.00	N/A	\$0.00	\$0.00	\$0.00
Delivery Permit	\$0.00	\$0.00	\$0.00	N/A	\$0.00	\$0.00	\$0.00
Out of State D.P.	\$0.00	\$0.00	\$0.00	N/A	\$0.00	\$100.00	\$100.00

Tavern							
New	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$920.00	\$500.00	\$1,420.00
Transfer	\$750.00	\$75.00	\$825.00	\$3,253.00	\$920.00	\$500.00	\$1,420.00
Concurrent Review	\$750.00	\$75.00	\$1,075.00	\$3,253.00	\$1,020.00	\$500.00	\$1,520.00
Renewal	\$100.00	\$75.00	\$175.00	\$3,253.00	\$0.00	\$500.00	\$500.00

3.2% Beer Off-Premise							
New	\$1,000.00	\$3.75	\$1,003.75	\$275.00	\$920.00	\$96.25	\$1,016.25
Transfer	\$750.00	\$3.75	\$753.75	\$275.00	\$920.00	\$96.25	\$1,016.25
Concurrent Review	\$750.00	\$3.75	\$1,003.75	\$275.00	\$1,020.00	\$96.25	\$1,116.25
Renewal	\$100.00	\$3.75	\$103.75	\$275.00	\$0.00	\$96.25	\$96.25

3.2% Beer On-Premise							
New	\$1,000.00	\$3.75	\$1,003.75	\$400.00	\$920.00	\$96.25	\$1,016.25
Transfer	\$750.00	\$3.75	\$753.75	\$400.00	\$920.00	\$96.25	\$1,016.25
Concurrent Review	\$750.00	\$3.75	\$1,003.75	\$400.00	\$1,020.00	\$96.25	\$1,116.25
Renewal	\$100.00	\$3.75	\$103.75	\$400.00	\$0.00	\$96.25	\$96.25

3.2% Beer On/Off Premise							
New	\$1,000.00	\$3.75	\$1,003.75	\$400.00	\$920.00	\$96.25	\$1,016.25
Transfer	\$750.00	\$3.75	\$753.75	\$400.00	\$920.00	\$96.25	\$1,016.25
Concurrent Review	\$750.00	\$3.75	\$1,003.75	\$400.00	\$1,020.00	\$96.25	\$1,116.25
Renewal	\$100.00	\$3.75	\$103.75	\$400.00	\$0.00	\$96.25	\$96.25

Special Event Permit (Beer, Wine, & Hard Liquor)							
Fee per day (Limit 15 days @ year)	\$50 for 250 people or less or \$100 for 251 people or more	\$0.00	\$50 for 250 people or less or \$100 for 251 people or more	N/A	N/A	\$0.00	\$0.00

Special Event Permit (3.2% Beer Only)							
Fee per day (Limit 15 days @ year)	\$50 for 250 people or less or \$100 for 251 people or more	\$0.00	\$50 for 250 people or less or \$100 for 251 people or more	N/A	N/A	\$0.00	\$0.00

Local Fee and State Fee checks should be made payable to the "City of Boulder" and/or the "Colorado Department of Revenue".

Background fee checks should be made payable to the "City of Boulder" and the fee is \$38.50 per individual.

Occupation Tax listed above is for an entire year period. Occupation Tax is a yearly tax that is billed each January 1 and each July 1 for the next 6 month period and is due 30 days after the billing date.

For New licenses, occupation tax need not be paid at the time of application but instead will be pro-rated and billed based on the date of license issuance. For Transfer licenses, occupation tax must be paid before a temporary license will be issued.

Occupation tax continues to accrue even in the instance of closure so it is in a licensee's best interest to promptly surrender licenses.

2016 FEES FOR LIQUOR LICENSE CHANGES

Effective July 1, 2010

Change	Local Fee	State Fee	Background Fee
Change of Business Entity or Trade Name	\$50.00	\$50.00	\$38.50 per person
Change of Location	\$750.00	\$150.00	\$38.50 per person
Corporate Changes (per person- may be charged by city or state)	\$100.00	None	\$38.50 per person
Duplicate License	\$50.00	\$50.00	None
Expansion- add Optional Premises (each permit)	None	\$100.00	None
Late Renewal Fee	\$500.00	None	None
Manager Registration (H&R and Tavern licenses)	\$75.00	\$75.00	\$38.50 per person
Modification of Premises (Temporary/ Permanent)	\$50/\$100	\$300/\$150	None
Temporary License for Transfers Only	\$100.00	None	None
500' Measurement Request	\$50.00	None	None

Local Fee and State Fee checks should be made payable to the "**City of Boulder**" and/or the "**Colorado Department of Revenue**".

Checks should be made payable to "**City of Boulder**" and the Background fee is \$38.50 per individual.

PLEASE NOTE THE FOLLOWING FOR 3.2% BEER, CHANGE OF CLASS AND CHANGE OF LOCATION LICENSE APPLICATIONS:

- If applicant wishes to apply for a 3.2% Beer license, then please complete the State Fermented malt Beverage (3.2% Beer) License Application (DR 8403) instead of the State Liquor Retail Liquor License Application (DR 8404) included here.
- If a change in class of liquor license application is to be filed, then all state and city application requirements are the same and the applicant must include a signed letter on letterhead that states that the licensee will surrender the old license if approved for the new license at the time of the city inspection. For changes of class, the licensee should pay the occupation tax in the proper amount for the old license and they will be billed in a pro-rated amount if approved for the new license type.
- For change of location applications, please complete State Permit Application and Report of Changes (DR 8442) and pay the state fee indicated on that form instead of completion of the Liquor Retail Liquor License Application (DR 8404). All city forms and fees are the same as for a new liquor license.

Colorado Liquor Retail License Application

<input type="checkbox"/> New License <input type="checkbox"/> New-Concurrent <input type="checkbox"/> Transfer of Ownership			
<ul style="list-style-type: none"> • All answers must be printed in black ink or typewritten • Applicant must check the appropriate box(es) • Applicant should obtain a copy of the Colorado Liquor and Beer Code: www.colorado.gov/enforcement/liquor • Local License Fee \$ _____ 			
1. Applicant is applying as a/an		<input type="checkbox"/> Individual <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Association or Other	
<input type="checkbox"/> Corporation <input type="checkbox"/> Partnership (includes Limited Liability and Husband and Wife Partnerships)			
2. Applicant If an LLC, name of LLC; if partnership, at least 2 partner's names; if corporation, name of corporation			FEIN Number
2a. Trade Name of Establishment (DBA)		State Sales Tax Number	Business Telephone
3. Address of Premises (specify exact location of premises, include suite/unit numbers)			
City	County	State	ZIP Code
4. Mailing Address (Number and Street)		City or Town	State ZIP Code
5. Email Address			
6. If the premises currently has a liquor or beer license, you must answer the following questions			
Present Trade Name of Establishment (DBA)		Present State License Number	Present Class of License
			Present Expiration Date
Section A Nonrefundable Application Fees		Section B (Cont.) Liquor License Fees	
<input type="checkbox"/> Application Fee for New License \$920.00 <input type="checkbox"/> Application Fee for New License w/Concurrent Review \$1020.00 <input type="checkbox"/> Application Fee for Transfer \$920.00		<input type="checkbox"/> Liquor Licensed Drugstore (City)..... \$227.50 <input type="checkbox"/> Liquor Licensed Drugstore (County) \$312.50 <input type="checkbox"/> Manager Registration - H & R \$75.00 <input type="checkbox"/> Manager Registration - Tavern \$75.00	
Section B Liquor License Fees			
<input type="checkbox"/> Add Optional Premises to H & R..... \$100.00 X _____ Total _____ <input type="checkbox"/> Add Related Facility to Resort Complex \$75.00 X _____ Total _____ <input type="checkbox"/> Arts License (City) \$308.75 <input type="checkbox"/> Arts License (County) \$308.75 <input type="checkbox"/> Beer and Wine License (City)..... \$351.25 <input type="checkbox"/> Beer and Wine License (County)..... \$436.25 <input type="checkbox"/> Brew Pub License (City) \$750.00 <input type="checkbox"/> Brew Pub License (County)..... \$750.00 <input type="checkbox"/> Club License (City)..... \$308.75 <input type="checkbox"/> Club License (County) \$308.75 <input type="checkbox"/> Distillery Pub License (City)..... \$750.00 <input type="checkbox"/> Distillery Pub License (County) \$750.00 <input type="checkbox"/> Hotel and Restaurant License (City) \$500.00 <input type="checkbox"/> Hotel and Restaurant License (County) \$500.00 <input type="checkbox"/> Hotel and Restaurant License w/one opt premises (City) \$600.00 <input type="checkbox"/> Hotel and Restaurant License w/one opt premises (County)..... \$600.00		<input type="checkbox"/> Master File Location Fee \$25.00 X _____ Total _____ <input type="checkbox"/> Master File Background \$250.00 X _____ Total _____ <input type="checkbox"/> Optional Premises License (City)..... \$500.00 <input type="checkbox"/> Optional Premises License (County) \$500.00 <input type="checkbox"/> Racetrack License (City)..... \$500.00 <input type="checkbox"/> Racetrack License (County)..... \$500.00 <input type="checkbox"/> Resort Complex License (City)..... \$500.00 <input type="checkbox"/> Resort Complex License (County)..... \$500.00 <input type="checkbox"/> Retail Gaming Tavern License (City) \$500.00 <input type="checkbox"/> Retail Gaming Tavern License (County)..... \$500.00 <input type="checkbox"/> Retail Liquor Store License (City)..... \$227.50 <input type="checkbox"/> Retail Liquor Store License (County)..... \$312.50 <input type="checkbox"/> Tavern License (City) \$500.00 <input type="checkbox"/> Tavern License (County)..... \$500.00 <input type="checkbox"/> Vintners Restaurant License (City) \$750.00 <input type="checkbox"/> Vintners Restaurant License (County)..... \$750.00	
Questions? Visit: www.colorado.gov/enforcement/liquor for more information			
Do not write in this space - For Department of Revenue use only			
Liability Information			
License Account Number	Liability Date	License Issued Through (Expiration Date)	Total
			\$

Application Documents Checklist and Worksheet

Instructions: This checklist should be utilized to assist applicants with filing all required documents for licensure. **All** documents must be properly signed and correspond with the name of the applicant exactly. **All** documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable.

Questions? Visit: www.colorado.gov/enforcement/liquor for more information

Items submitted, please check all appropriate boxes completed or documents submitted	
I.	Applicant information <input type="checkbox"/> A. Applicant/Licensee identified <input type="checkbox"/> B. State sales tax license number listed or applied for at time of application <input type="checkbox"/> C. License type or other transaction identified <input type="checkbox"/> D. Return originals to local authority <input type="checkbox"/> E. Additional information may be required by the local licensing authority
II.	Diagram of the premises <input type="checkbox"/> A. No larger than 8 1/2" X 11" <input type="checkbox"/> B. Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences, walls, entry/exit points, etc.) <input type="checkbox"/> C. Separate diagram for each floor (if multiple levels) <input type="checkbox"/> D. Kitchen - identified if Hotel and Restaurant <input type="checkbox"/> E. Bold/Outlined Licensed Premises
III.	Proof of property possession (One Year Needed) <input type="checkbox"/> A. Deed in name of the Applicant (or) (matching question #2) date stamped / filed with County Clerk <input type="checkbox"/> B. Lease in the name of the Applicant (or) (matching question #2) <input type="checkbox"/> C. Lease Assignment in the name of the Applicant with proper consent from the Landlord and acceptance by the Applicant <input type="checkbox"/> D. Other Agreement if not deed or lease. (matching question #2) (Attach prior lease to show right to assumption)
IV.	Background information and financial documents <input type="checkbox"/> A. Individual History Records(s) (Form DR 8404-I) <input type="checkbox"/> B. Fingerprints taken and submitted to local authority (State Authority for Master File applicants) <input type="checkbox"/> C. Purchase agreement, stock transfer agreement, and or authorization to transfer license <input type="checkbox"/> D. List of all notes and loans (Copies to also be attached)
V.	Sole proprietor / husband and wife partnership <input type="checkbox"/> A. Form DR4679 <input type="checkbox"/> B. Copy of State issued Driver's License or Colorado Identification Card for each applicant
VI.	Corporate applicant information (if applicable) <input type="checkbox"/> A. Certificate of Incorporation dated stamped by the Secretary of State <input type="checkbox"/> B. Certificate of Good Standing <input type="checkbox"/> C. Certificate of Authorization if foreign corporation <input type="checkbox"/> D. List of officers, directors and stockholders of Applying Corporation (If wholly owned, designate a minimum of one person as Principal Officer of Parent)
VII.	Partnership applicant information (if applicable) <input type="checkbox"/> A. Partnership Agreement (general or limited). Not needed if husband and wife <input type="checkbox"/> B. Certificate of Good Standing (If formed after 2009)
VIII.	Limited Liability Company applicant information (if applicable) <input type="checkbox"/> A. Copy of articles of organization (date stamped by Colorado Secretary of State's Office) <input type="checkbox"/> B. Certificate of Good Standing <input type="checkbox"/> C. Copy of operating agreement <input type="checkbox"/> D. Certificate of Authority if foreign company
IX.	Manager registration for hotel and restaurant, tavern licenses when included with this application <input type="checkbox"/> A. \$75.00 fee <input type="checkbox"/> B. Individual History Record (DR 8404-I) <input type="checkbox"/> C. If owner is managing, no fee required

7. Is the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation) or manager under the age of twenty-one years?		Yes	No	
		<input type="checkbox"/>	<input type="checkbox"/>	
8. Has the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation) or manager ever (in Colorado or any other state):				
(a) Been denied an alcohol beverage license?		<input type="checkbox"/>	<input type="checkbox"/>	
(b) Had an alcohol beverage license suspended or revoked?		<input type="checkbox"/>	<input type="checkbox"/>	
(c) Had interest in another entity that had an alcohol beverage license suspended or revoked?		<input type="checkbox"/>	<input type="checkbox"/>	
If you answered yes to 8a, b or c, explain in detail on a separate sheet.				
9. Has a liquor license application (same license class), that was located within 500 feet of the proposed premises, been denied within the preceding two years? If "yes", explain in detail.		<input type="checkbox"/>	<input type="checkbox"/>	
10. Are the premises to be licensed within 500 feet of any public or private school that meets compulsory education requirements of Colorado law, or the principal campus of any college, university or seminary?		<input type="checkbox"/>	<input type="checkbox"/>	
Waiver by local ordinance? Other: _____		<input type="checkbox"/>	<input type="checkbox"/>	
or				
11. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a Limited Liability Company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any <u>current</u> financial interest in said business including any loans to or from a licensee.		<input type="checkbox"/>	<input type="checkbox"/>	
12. Does the Applicant, as listed on line 2 of this application, have legal possession of the premises by virtue of ownership , lease or other arrangement?				
<input type="checkbox"/> Ownership <input type="checkbox"/> Lease <input type="checkbox"/> Other (Explain in Detail) _____				
a. If leased, list name of landlord and tenant, and date of expiration, exactly as they appear on the lease:				
Landlord	Tenant	Expires		
b. Is a percentage of alcohol sales included as compensation to the landlord? If yes complete question 13.				
		<input type="checkbox"/>	<input type="checkbox"/>	
c. Attach a diagram and outline or designate the area to be licensed (including dimensions) which shows the bars, brewery, walls, partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8 1/2" X 11".				
13. Who, besides the owners listed in this application (including persons, firms, partnerships, corporations, limited liability companies), will loan or give money, inventory, furniture or equipment to or for use in this business; or who will receive money from this business. Attach a separate sheet if necessary.				
Last Name	First Name	Date of Birth	FEIN or SSN	Interest/Percentage
Last Name	First Name	Date of Birth	FEIN or SSN	Interest/Percentage
Attach copies of all notes and security instruments, and any written agreement, or details of any oral agreement, by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation.				
14. Optional Premises or Hotel and Restaurant Licenses with Optional Premises:		<input type="checkbox"/>	<input type="checkbox"/>	
Has a local ordinance or resolution authorizing optional premises been adopted?				
Number of additional Optional Premise areas requested. (See license fee chart)				
15. Liquor Licensed Drug Store applicants, answer the following:				
(a) Does the applicant for a Liquor Licensed Drug Store have a license issued by the Colorado Board of Pharmacy?		<input type="checkbox"/>	<input type="checkbox"/>	
If "yes" a copy of license must be attached.				
16. Club Liquor License applicants answer the following: Attach a copy of applicable documentation				
(a) Is the applicant organization operated solely for a national, social, fraternal, patriotic, political or athletic purpose and not for pecuniary gain?		<input type="checkbox"/>	<input type="checkbox"/>	
(b) Is the applicant organization a regularly chartered branch, lodge or chapter of a national organization which is operated solely for the object of a patriotic or fraternal organization or society, but not for pecuniary gain?		<input type="checkbox"/>	<input type="checkbox"/>	
(c) How long has the club been incorporated?				
(d) Has applicant occupied an establishment for three years (three years required) that was operated solely for the reasons stated above?		<input type="checkbox"/>	<input type="checkbox"/>	
17. Brew-Pub, Distillery Pub or Vintner's Restaurant applicants answer the following:				
(a) Has the applicant received or applied for a Federal Permit? (Copy of permit or application must be attached)		<input type="checkbox"/>	<input type="checkbox"/>	
18a. For all on-premises applicants. (If this is an application for a Hotel, Restaurant or Tavern License, the manager must also submit an individual History Record - DR 8404-I)				
Last Name of Manager	First Name of Manager	Date of Birth		
18b. Does this manager act as the manager of, or have a financial interest in, any other liquor licensed establishment in the State of Colorado? If yes, provide name, type of license and account number.		<input type="checkbox"/>	<input type="checkbox"/>	
Name	Type of License	Account Number		
19. Tax Distraint Information. Does the applicant or any other person listed on this application and including its partners, officers, directors, stockholders, members (LLC) or managing members (LLC) and any other persons with a 10% or greater financial interest in the applicant currently have an outstanding tax distraint issued to them by the Colorado Department of Revenue?		<input type="checkbox"/>	<input type="checkbox"/>	
If yes, provide an explanation and include copies of any payment agreements.				

20. If applicant is a corporation, partnership, association or limited liability company, applicant must list all **Officers, Directors, General Partners, and Managing Members**. In addition, applicant must list any stockholders, partners, or members with **ownership of 10% or more in the Applicant**. **All persons listed below** must also attach form DR 8404-I (Individual History Record), and submit fingerprint cards to the local licensing authority.

Name	Home Address, City & State	DOB	Position	%Owned
Name	Home Address, City & State	DOB	Position	% Owned
Name	Home Address, City & State	DOB	Position	% Owned
Name	Home Address, City & State	DOB	Position	% Owned
Name	Home Address, City & State	DOB	Position	% Owned

** If Applicant is owned 100% by a parent company, please list the designated principal officer on question #20
 ** Corporations - The President, Vice-President, Secretary and Treasurer must be accounted for on question #20 (Include ownership percentage if applicable)
 ** If total ownership percentage disclosed here does not total 100%, applicant must check this box:
 Applicant affirms that no individual other than these disclosed herein, owns 10% or more of the applicant, and does not have ownership in a prohibited liquor license pursuant to Title 47 or 48, C.R.S.

Oath Of Applicant

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.

Authorized Signature	Printed Name and Title	Date
----------------------	------------------------	------

Report and Approval of Local Licensing Authority (City/County)

Date application filed with local authority	Date of local authority hearing (for new license applicants; cannot be less than 30 days from date of application 12-47-311 (1) C.R.S.)
---	---

The Local Licensing Authority Hereby Affirms that each person required to file DR 8404-I (Individual History Record) has:
 Been fingerprinted
 Been subject to background investigation, including NCIC/CCIC check for outstanding warrants
 That the local authority has conducted, or intends to conduct, an inspection of the proposed premises to ensure that the applicant is in compliance with, and aware of, liquor code provisions affecting their class of license
 (Check One)
 Date of inspection or anticipated date _____
 Will conduct inspection upon approval of state licensing authority

The foregoing application has been examined; and the premises, business to be conducted, and character of the applicant are satisfactory. We do report that such license, if granted, will meet the reasonable requirements of the neighborhood and the desires of the adult inhabitants, and will comply with the provisions of Title 12, Article 46 or 47, C.R.S.

Therefore, this application is approved.

Local Licensing Authority for	Telephone Number	<input type="checkbox"/> Town, City <input type="checkbox"/> County	
Signature	Print	Title	Date
Signature (attest)	Print	Title	Date

Colorado Retail Liquor and Beer Licenses and Permits

Retail Liquor Store license permits the sale to the public of malt, vinous, and spirituous liquors in sealed containers for consumption off the premises.

Liquor-Licensed Drug Store license permits licensed drugstores to sell malt, vinous, and spirituous liquors to the public in sealed containers for consumption off the premises.

Tavern license permits the sale to the public of malt, vinous, and spirituous liquors for consumption on the premises.

Hotel and Restaurant license permits restaurants, and hotels with restaurant facilities, to sell malt, vinous, and spirituous liquors to the public for consumption within the licensed premises.

Beer and Wine license permits the sale of malt and vinous liquors only to the public for consumption on the licensed premises.

Club license permits qualifying non-profit corporations to sell malt, vinous, or spirituous liquors to members and their guests for consumption on the premises.

Arts license permits qualifying non-profit corporations and municipalities to sell malt, vinous, and spirituous liquors to patrons of artistic and cultural performances for consumption on the premises in connection with such performances.

Bed and Breakfast Permit allows complimentary alcohol beverages to be provided only to overnight guests during limited hours for consumption on the premises.

Racetrack license permits racetracks with pari-mutuel wagering to sell malt, vinous, and spirituous liquors to customers for consumption on the premises.

Public Transportation System license permits operators of a public transportation system to sell malt, vinous, and spirituous liquors to the public for consumption on the conveyances, cars, or planes of the system, or in private club rooms in airports or airport concourses.

Optional Premises license permits the sale on a licensed outdoor sports and recreational facility of malt, vinous, and spirituous liquors by the drink to customers for consumption on the premises.

3.2% Beer license permits the sale of fermented malt beverage (3.2% beer) to the public by the drink for consumption on the premises, or in sealed containers for off-premises consumption, or for both on- and off-premises consumption, depending upon the type of license issued.

Mini Bar Permits allow a hotel and restaurant licensee (owner) who has a separate hotel facility, if located within 1,000 feet of its existing hotel and restaurant license, to establish mini bars in guest rooms at the hotel.

Delivery Permit allows the delivery of alcohol beverages to locations off the licensed premises in sealed containers. Permits are only available to off premises retail licensees and to 3.2 beer licensees who hold on/off combination licenses.

Brew Pub license allows sale and consumption on premises of malt, vinous and spirituous liquors, and permits the manufacture of malt liquor on the licensed premises. Malt liquor which is manufactured on licensed premises may be sold for consumption on the premises, sold to an

independent wholesaler for distribution to other licensed retailers, or sold to the public in properly labeled sealed containers "to go."

Retail Gaming Tavern license allows sale of malt, vinous and spirituous liquor by the drink for consumption on the premises.

Vintner's Restaurant means a retail establishment that sells food for consumption on the premises and that manufactures not more than two hundred fifty thousand gallons of wine on its premises each year.

AFFIDAVIT OF TRANSFER AND STATEMENT OF COMPLIANCE

Pursuant to the requirements of 12-47-303(3)(b), Colorado Revised Statutes, Licensee hereby states that all accounts for alcohol beverages sold to the Applicant are:

- Paid in full. There are no outstanding accounts with any Colorado Wholesalers.
- Licensee hereby certifies that the following is a complete list of accounts for alcohol beverages that are unpaid:

Licensee and Applicant agree that all accounts will be paid for from the proceeds at closing by the: Licensee Applicant

- Licensee unavailable to certify disposition of accounts for alcohol beverages - Inventory list attached. Transfer by operation of law - Regulation 47-304.
- Applicant will assume full responsibility for payment of the outstanding accounts as listed above.
- No alcohol beverage inventory transferred or sold.

Licensee hereby authorizes the transfer of its Colorado Retail Liquor License to the Applicant, its agent, or a company, corporation, partnership or other business entity to be formed by the Applicant.

Dated this _____ day of _____, 20 ____.

Seller:

Buyer:

Licensee & License Number

Applicant

Trade name

Trade name

Signature

Signature

Position

Position

Print Name

Print Name



**CITY OF BOULDER
BEVERAGE LICENSING AUTHORITY
ACKNOWLEDGEMENT OF TRANSFER OF LICENSE SUBJECT TO
PAST, PENDING, OR POSSIBLE FUTURE DISCIPLINARY ACTION**

I, _____ (Person's Name) on behalf of _____ (Business Applicant Name) am the applicant for transfer of the liquor license currently issued to _____ at the licensed premise location of _____.

I understand and agree that if the transfer of the license is approved, the license may continue to be subject to any restrictions and conditions currently on the license. I understand that if there are any days of suspension currently held in abeyance against the license, the license may continue to be subject to those days in abeyance even after the transfer.

I further understand that if any incidents, which could subject the license to disciplinary action, have either occurred or will occur on the licensed premise prior to approval of the transfer, the license may still be subject to possible disciplinary actions that could affect the license, even after the transfer, only where myself, my agents(s) and/or my employees either knew or should have known of the incidents.

I understand that it is my sole responsibility to inquire and investigate all possible sources of information to learn of any past, ongoing or possible future disciplinary actions that could result in any revocation, suspension, or fines being imposed. These sources include, but are not limited to the inquiry and investigation of the current licensee, manager(s), employees of the licensed premise, and the review of the public records of the Colorado Department of Revenue, the Boulder City Licensing Division, the Boulder Police Department, the Boulder District Court, the Boulder Municipal Court, and/or the Boulder County Court Criminal Divisions.

I also understand that I have the option to withdraw the application for the transfer of the license and file an application for the issuance of a new liquor license. Should a new liquor license be issued, that license would NOT be subject to any disciplinary action based upon incidents prior to the issuance of the new license.

Applicant

Trade Name

Authorized Signature

Print Name, Title, and Date



**CITY OF BOULDER
LIQUOR LICENSE APPLICATION**

This application must be filed in duplicate with the Office of the City Clerk, City of Boulder, 1777 Broadway, P. O. Box 791, Boulder, Colorado 80306. ALL INFORMATION MUST BE PRINTED LEGIBLY IN INK OR TYPEWRITTEN.

Name of Applicant(s): _____

Trade Name: _____

Phone No: _____ Contact Person: _____

Business Address: _____

Mailing address: _____

Property Owner: _____ Phone Number (local): _____

Property Manager: _____ Phone Number (local): _____

Property Manager's Mailing Address: _____

General Manager: _____ Phone Number (local): _____

The applicant(s) hereby applies to the City of Boulder Beverages Licensing Authority for the following local license and tenders the following fees:

Type of Application (fee):

- | | |
|--|---|
| <input type="checkbox"/> New License (\$1,000.00) | <input type="checkbox"/> Transfer of Ownership (\$750.00) |
| <input type="checkbox"/> Change in Location (\$750.00) | <input type="checkbox"/> Temporary Permit (\$100.00) |
| <input type="checkbox"/> Change in Class (\$750.00) | |

Type of License (fee):

- | | |
|---|---|
| <input type="checkbox"/> Hotel-Restaurant (\$75.00) | <input type="checkbox"/> Tavern (\$75.00) |
| <input type="checkbox"/> Hotel-Restaurant w/Optional Premises (\$75.00) | <input type="checkbox"/> Retail Liquor Store (\$22.50) |
| <input type="checkbox"/> Beer and Wine (\$48.75) | <input type="checkbox"/> Brew Pub (75.00) |
| <input type="checkbox"/> 3.2% Beer On & Off Premises (\$3.75) | <input type="checkbox"/> Liquor Licensed Drug Store (\$22.50) |
| <input type="checkbox"/> Club (\$41.25) | <input type="checkbox"/> Arts (\$41.25) |
| <input type="checkbox"/> Race Track (\$75.00) | |
| <input type="checkbox"/> Bed and Breakfast Permit (\$125.00 Total) | <input type="checkbox"/> Art Gallery Permit (\$103.75 Total) |
| <input type="checkbox"/> Manager Registration – If separate from owners (H&R, Brewpub & Tavern - \$75.00) | |

TOTAL FEES: \$ _____

[Fees effective as of July 1, 2010]

APPLICANTS DO NOT FILL THIS AREA OUT

STATEMENT OF THE NEIGHBORHOOD. (Not applicable to applications for Transfer of Ownership or applications for Club license.) **NEIGHBORHOOD BOUNDARIES WILL BE SET BY THE AUTHORITY AT THEIR MEETING ON _____.**

The Authority sets the following geographical area as the designated neighborhood which will be served by the license applied for:

North
Boundary _____

South
Boundary _____

East
Boundary _____

West
Boundary _____

ALL APPLICANT'S MUST ANSWER THESE QUESTIONS

CITY QUESTIONNAIRE: On a separate sheet of paper please answer the following questions for the Beverages Licensing Authority (BLA).

- 1) Describe the nature of the proposed establishment and the target market (i.e., restaurant, tavern, sports bar, families, college students, etc.). Please attach a menu for BLA.
- 2) What are the proposed hours and days of operation for this establishment?
- 3) What is the seating capacity of the establishment?
- 4) Have you applied for an "occupant load" for the interior and exterior seating from the Boulder Fire Department?
- 5) Do you have an emergency plan for your business (exit locations, fire protection system(s), etc.)? (yes or no)
- 6) How many individuals will be employed at this proposed establishment and how many will be full-time versus part-time? Please provide responsibilities, (i.e., manager, assistant manager, bartender, waitstaff, etc.).
- 7) Describe your past training and experience in the sale/service of alcohol beverages, include any special or certified training received.
- 8) Describe your proposed General Manager's past training and experience in the sale/service of alcohol beverages, include any special or certified training received.
- 9) Besides the state-certified Responsible Vendor classes, what other types of training are proposed for employees at this establishment in the safe and legal sale/service of alcohol beverages?

- 10) What policies & procedures do you have in place to determine a patron's level of intoxication?
- 11) What policies & procedures do you have in place to refuse service to a patron?
- 12) Describe any other types of training or operating procedures that employees will be following in the day-to-day operation of this proposed establishment?
- 13) What methods will be used in checking identification for proper age of patrons (at the door, at the bar, etc.) and how will underage patrons be identified so as not to be served alcohol beverages (stamp, mark on the hand, etc.)
- 14) What types of entertainment will be offered, if any, at this proposed establishment (i.e., music, pool, darts, etc.)?
- 15) Do you plan to have any exterior amplified sound?
- 16) What types of security, if any, will be provided at this proposed establishment?
- 17) What time will your kitchen close each night? Describe your food service plans after your kitchen closes.
- 18) What is the estimated ratio of food to alcohol beverage sales at this establishment?
- 19) If you plan on hosting a "Private Party," what extra measures will you take regarding security, staffing and communications with the Boulder Police Department?
A private party is defined as: "An event where there is a single contact person who represents a group of people who are gathering for social and/or business reasons, the event will be held after 10:00 p.m., there will be both underage and of-age people present and there will be enough people to constitute an occupancy capacity of 75% or greater."

OATH OF APPLICANT

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor and Beer Code and all City of Boulder rules, regulations and codes which affect my license.

Authorized Signature

Date

Print Name & Title



**CITY OF BOULDER
BEVERAGE LICENSING AUTHORITY
ZONING / PLANNING CONFIRMATION PRIOR TO LICENSE APPLICATION**

Liquor License Applicant Completes Top Portion:

Applicant: _____ Trade Name: _____

Applicant Phone: _____ Applicant Email: _____

Premise Address: _____

Indoor Premise Square Footage: _____ Outdoor Patio Square Footage _____

Approved Indoor Premise: _____, and Outdoor Patio: _____ Occupancy Load/Seating Capacity.

Hours of Operation: _____

New Transfer Modification; Liquor License Type: _____

Applicant's Signature: _____ Applicant's Printed Name: _____

Responsive to C.R.S. 12-47-313(1) and (1)c which states in pertinent part, "(1) No application for the issuance of any license... shall be received or acted upon ... (c) For a location in an area where the sale of alcohol beverages as contemplated is not permitted under the applicable zoning laws of the municipality, city and county, or county..." and Beverage Licensing Authority Rules of Procedure, Subsection 5-1-1 (c) available at: <http://www.boulder.colorado.gov/files/Clerk/Licensing/Rules%20of%20Procedure.pdf>

Applicant should bring this sheet AND PROPOSED PREMISE DIAGRAM complying with B.L.A Rule Section 3-3 et seq. to Licensing Clerk for the use of Boulder Planning and Zoning at 1739 Broadway-Park Central Building, 3rd Floor, 303-441-1880 to address the four below questions. NOTE: This review is for zoning purposes only and is not approval for any change of occupancy or use pertaining to the building code. A separate building permit is required for building modifications, including a change of use or occupancy.

Planning and Zoning Department Completes Bottom Portion:

_____ Is the Use at the Site Allowed?
YES/NO Comments/Limitations: _____

_____ Does the Use Require a Use Review or Site Review?
YES/NO Comments: _____

_____ If Use/Site Review is required has a Use Review/Site Review been approved?
YES/NO Comments (such as Case Number/ Date of Approval): _____

_____ Are there any zoning restrictions or conditions of approval on the property that would prevent this property from being open from the hours of 7:00 am to 2:00 am?
YES/NO Comments/ Limitations: _____

Planner's Signature

Planner's Printed Name

Planner's Title

Planner's Signature Date



**CITY OF BOULDER
BEVERAGES LICENSING AUTHORITY
FINANCIAL STATEMENT**

The applicant hereby agrees that any knowingly false or incomplete answer to the following questions shall constitute cause for the suspension or revocation of the license applied for:

Name of Applicant(s): _____

Trade Name: _____

Business Address: _____

Phone No: _____ Contact Person: _____

Mailing address: _____

Other Liquor Licensed Establishments that principals or managers of Applicant(s) now holds:

If applicant is a corporation or LP or LLC, names of persons purchasing stock or membership units:

Is this a new or existing business: _____

If **new business**, state approximate investment amount: \$ _____

Where will these funds come from? _____

If **existing business**, state purchase price including inventory: \$ _____

Where will these funds come from? _____

**If cash is to be invested, please complete the following:
(If you need additional space, please attach additional pages)**

Person or business investing: _____

Address of Investor: _____

Amount of Investment: _____

Source of Investment: _____
(Checking, Savings, Bonds, etc.)

**If loans are to be obtained, please complete the following:
(If you need additional space, please attach additional pages)**

Name of Lender: _____

Address of Lender: _____

Type of Loan: _____

Amount of Loan: _____

Security: _____

Term of Obligation: _____

Business Bank Account Information

What bank will the business account be maintained at? _____

What name will the account be under? _____

Who will be authorized to sign on the account? _____

Applicant hereby agrees to notify the Beverages Licensing Authority of the City of Boulder of any changes in the financing of this business should such changes occur during the period for which this license is issued.

OATH OF APPLICANT

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor and Beer Code and all City of Boulder rules, regulations and codes which affect my license.

Authorized Signature

Date

Print Name & Title

Food Service Requirements

3.2 % Beer Licenses:

3.2% Beer Licensees are not obligated to serve food at any time.

On-Premises Liquor Licenses:

Club licensees, Arts licensees, Optional premises licensees, and Public Transportation System licensees are not obligated to serve food at any time.

Hotel and Restaurant licensees must have full meals available until 8:00 p.m. everyday, and snacks and sandwiches after 8:00 p.m. Food sales must provide at least 25% of the gross income from the sale of food and beverages.

Brew Pubs must serve meals and must derive 15% of the on-premises gross sales from the sale of food.

Taverns, Gaming Taverns, and Beer and Wine licensees must have sandwiches and snacks available during operating hours.

Racetracks are required to have food available during hours of operation.

When food is required to be served, it must be available wherever alcoholic beverages are served.

Off-premises Liquor Licenses:

Liquor stores are prohibited from the sale of food items except those approved by the State Licensing Authority that are prepackaged, labeled, directly related to the consumption of liquor, and are sold in containers up to 16 ounces for the purpose of cocktail garnish. Liquor-licensed Drug stores are not subject to prohibitions or requirements regarding sale of food items.

Valid Proof of Age

- Colorado law **does not** require that licensed establishments selling 3.2% beer, or regular beer, wine, and spirits, check identification for proof of age.
- Colorado law **does not** prohibit underage persons from entering and remaining in licensed establishments.
- Colorado law **does not** require persons to carry proof of age.
- Colorado law **does** prohibit any licensed establishment from selling or serving any alcohol beverages to an underage person.
- Licensees should always check proof of age if there is the slightest doubt of the customer's actual age. If no acceptable proof of age is available, no service should be provided.

Identification

The following forms of identification (see Regulation 47-912) may be relied upon by licensees to show proof of age. Note that each such ID has a photo and a description of the bearer:

1. An operator's, chauffeur's or similar type driver's license containing a picture, and date of birth, issued by any state, within the United States, or any U.S. Territory, or any foreign country including Canada or Mexico.
2. An identification card issued by any state for the purpose of proof of age in accordance with C.R.S. 42-2-302 and 42-2-303.
3. Military identification card.
4. A passport.
5. An alien registration card.
6. A valid employment authorization document issued by the U.S. Department of Homeland Security.
7. A valid consular identification card from any foreign country.

If a licensee reasonably relies on one of the above ID's for proof of age, that licensee's liquor license cannot be suspended or revoked for sale to a minor. If, however, a licensee relies on any other type of ID, his license can still be suspended or revoked if the customer with the ID turns out to be underage. Licensees need not take chances, and they should only accept one of the five types of proper ID's above. Persons without proper ID can always be refused service of alcohol.

False Identification

Licensees are permitted under CRS 12-47-901(5)(a)(II) to confiscate suspected false or fraudulent identification. Confiscated identification must be turned over to a state or local law enforcement agency within 72 hours. The following are some methods which can be used to detect false ID's:

1. Ask for a second piece of identification.
2. Put a flashlight behind a Colorado driver's license to spot alterations.
3. Check for an extra layer of lamination.
4. Look at both sides of an ID for alterations.

Individual History Record

To be completed by the following persons, as applicable: sole proprietors; general partners regardless of percentage ownership, and limited partners owning 10% or more of the partnership; all principal officers of a corporation, all directors of a corporation, and any stockholder of a corporation owning 10% or more of the outstanding stock; managing members or officers of a limited liability company, and members owning 10% or more of the company; and any intended registered manager of Hotel and Restaurant or Tavern class of retail license

Notice: This individual history record requires information that is necessary for the licensing investigation or inquiry. All questions must be answered in their entirety or the license application may be delayed or denied. If a question is not applicable, please indicate so by "N/A". **Any deliberate misrepresentation or material omission may jeopardize the license application.** (Please attach a separate sheet if necessary to enable you to answer questions completely)

1. Name of Business	Home Phone Number	Cellular Number
2. Your Full Name (last, first, middle)	3. List any other names you have used	
4. Mailing address (if different from residence)	Email Address	

5. List current residence address. Include any previous addresses within the last five years. (Attach separate sheet if necessary)

Street and Number	City, State, Zip	From	To
Current			
Previous			

6. List all employment within the last five years. Include any self-employment. (Attach separate sheet if necessary)

Name of Employer or Business	Address (Street, Number, City, State, Zip)	Position Held	From	To

7. List the name(s) of relatives working in or holding a financial interest in the Colorado alcohol beverage industry.

Name of Relative	Relationship to You	Position Held	Name of Licensee

8. Have you ever applied for, held, or had an interest in a Colorado Liquor or Beer License, or loaned money, furniture, fixtures, equipment or inventory to any licensee? (If yes, answer in detail.) Yes No

9. Have you ever received a violation notice, suspension, or revocation for a liquor law violation, or have you applied for or been denied a liquor or beer license anywhere in the United States? (If yes, explain in detail.) Yes No

10. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? (If yes, explain in detail.) Yes No

11. Are you currently under probation (supervised or unsupervised), parole, or completing the requirements of a deferred sentence? (If yes, explain in detail.) Yes No

12. Have you ever had any professional license suspended, revoked, or denied? (If yes, explain in detail.) Yes No

Personal and Financial Information

Unless otherwise provided by law, the personal information required in question #13 will be treated as confidential. The personal information required in question #13 is solely for identification purposes.

13a. Date of Birth		b. Social Security Number		c. Place of Birth			d. U.S. Citizen <input type="checkbox"/> Yes <input type="checkbox"/> No	
e. If Naturalized, state where				f. When		g. Name of District Court		
h. Naturalization Certificate Number		i. Date of Certification		j. If an Alien, Give Alien's Registration Card Number			k. Permanent Residence Card Number	
l. Height	m. Weight	n. Hair Color	o. Eye Color	p. Gender	q. Race	r. Do you have a current Driver's License/ID? If so, give number and state. <input type="checkbox"/> Yes <input type="checkbox"/> No # _____ State _____		

14. Financial Information.

a. Total purchase price or investment being made by the applying entity, corporation, partnership, limited liability company, other. \$ _____

b. List the total amount of the **personal** investment, made by the person listed on question #2, in this business including any notes, loans, cash, services or equipment, operating capital, stock purchases or fees paid. \$ _____

* If corporate investment only please skip to and complete section (d)

** Section b should reflect the total of sections c and e

c. Provide details of the personal investment described in 14b. You must account for all of the sources of this investment. (Attach a separate sheet if needed)

Type: Cash, Services or Equipment	Account Type	Bank Name	Amount

d. Provide details of the corporate investment described in 14 b. You must account for all of the sources of this investment. (Attach a separate sheet if needed)

Type: Cash, Services or Equipment	Loans	Account Type	Bank Name	Amount

e. Loan Information (Attach copies of all notes or loans)

Name of Lender	Address	Term	Security	Amount

Oath of Applicant

I declare under penalty of perjury that this application and all attachments are true, correct, and complete to the best of my knowledge.

Authorized Signature	Print Signature	Title	Date
----------------------	-----------------	-------	------

Fingerprinting Information

Fingerprinting procedures for City of Boulder liquor licensing and medical marijuana licensing purposes:

- 1) Applicants are preferred to be fingerprinted on Boulder Police Department (BPD) fingerprint cards. If the liquor license applicant or medical marijuana applicant lives out of state or out of the City of Boulder, you may be fingerprinted at your local police department or sheriff's office if the outside agency prints on and you are able to provide the Licensing Clerk with standard cardstock FBI fingerprint cards. Please contact the Licensing office at 303-441-4192 to discuss other arrangements.
- 2) Applicants should be prepared to let the BPD department employee who is fingerprinting them know whether they live in the City of Boulder or, because they have a signed lease or deed for their proposed licensed establishment, that they currently work in the City of Boulder qualifying them for BPD printing.
- 3) On the fingerprint card there is a box that asks "Reason Fingerprinted." Unless the police department directs you otherwise, you can leave this box blank. The city licensing office and the police department may have stickers to put in this section. The stickers says: "Liquor License, City of Boulder, CO; C.R.S. 12-47-307(3)(a)(b)." for liquor licensing or "Med. Marijuana License, City of Boulder, CO; C.R.S. 12-43-3-307 (2) (a) (c)" for medical marijuana city licensing. If the police officer printing you wants you to write something in, then you should write the above information in.
- 4) When you submit your application, you must submit \$38.50 for liquor licensing prints or \$39.50 for medical marijuana licensing prints per person in certified funds or pre-printed business checks. Please make your money orders or cashier's checks payable to the City of Boulder.

There are 2 locations to be fingerprinted for City of Boulder license applications. Fingerprinting services are offered at the main police station and the downtown Community Police Center (CPC). There is an \$11.00 fee per fingerprint card. Please call the below PD location to ensure that the fingerprint specialist is on duty that day.

Boulder Police Department

Public Safety Building

1805 33rd Street

Phone: 303-441-3300

Days & Hours: Tuesdays & Thursdays: 8:00 a.m. - 6:00 p.m.

Downtown Mall CPC

1500 Pearl St., Ste. E

Phone: 303-441-3300 or 303-413-7324

Updated Days & Hours: Monday - Thursday: 10:00 a.m. - 2:00 p.m.



COLORADO
Bureau of Investigation
Department of Public Safety

Identification Unit
690 Kipling Street, Suite 3000
Denver, CO 80215
303-239-4208

NOTICE TO APPLICANTS

As an applicant for a position requiring fingerprints to be submitted to the Colorado Bureau of Investigation (“CBI”) and the Federal Bureau of Investigation (“FBI”), your fingerprints will be submitted to these agencies to check state and FBI records.

Discrepancies on your Colorado record can be challenged and corrected by contacting the Colorado Bureau of Investigation at 690 Kipling St., Suite 3000, Denver, CO 80215, or by calling the Identification Unit at (303) 239-4208. Additional information is available from CBI's website at www.colorado.gov/cbi.

Discrepancies on records from the FBI or relating to another state can be challenged through the FBI. Information, including that listed below, can be found at their website at www.fbi.gov.

The U.S. Department of Justice Order 556-73 establishes rules and regulations for the subject of an FBI Identification Record to obtain a copy of his or her own record for review. The FBI's Criminal Justice Information Services (“CJIS”) Division processes these requests.

Who May Request a Copy of a Record? (or proof that a record does not exist)
Only you can request a copy of your own Identification Record.

How to Request a Copy of Your Record?

The FBI offers two methods for requesting your FBI Identification Record or proof that a record does not exist:

Option 1: Submit your request directly to the FBI.

Option 2: Submit your request to an FBI-approved Channeler, which is a private business that has contracted with the FBI to receive the fingerprint submission and relevant data, collect the associated fee(s), electronically forward the fingerprint submission with the necessary information to the FBICJIS Division for a national criminal history record check, and receive the electronic record check result for dissemination to the individual. Contact each Channeler for processing times.

AGENCY INSTRUCTIONS: To comply with federal law, provide a copy of this document to each applicant fingerprinted.



MULTIPLE INTERESTS

Spaces marked with a "●" denote lawful financial interests among types of licenses.
 Spaces which are blank denote unlawful financial interests among types of licenses.

Example: a person owning or having a financial interest in a hotel and restaurant license can own or have an interest in a tavern license or in other hotel and restaurant licenses; but he/she may not own or have an interest in a retail liquor store license.		Mini Bar Permit	Bed & Breakfast Permits	Art Gallery Permit	Manufacturer (Spirits)*	Manufacturer (Wine)*	Manufacturer (Beer)	Limited Winery	Nonresident Manufacturer (Beer)	Importer (Wine & Spirits)	Importer (Beer)	Wholesale (Beer)	Wholesale (Wine & Spirits)*	Brew Pub	Retail Liquor Store	Liquor Licensed Drug Store	Beer & Wine	Hotel & Restaurant	Tavern	Club**	Arts	Race Track	Public Transportation-Air	Public Transportation-Surface	Optional Premises License	Retail Gaming Tavern	Vintner's Restaurant
		R	E	T	A	I	L																				
Mini Bar Permit		●																									
Bed & Breakfast Permits			●											●			●	●	●		●	●	●	●	●	●	
Art Gallery Permit				●										●			●	●			●	●	●	●	●	●	
Manufacturer (Spirits)*					●	●	●	●	●	●	●	●	●														
Manufacturer (Wine)*					●	●	●	●	●	●	●	●	●														
Manufacturer (Beer)					●	●	●	●	●	●	●	●	●														
Limited Winery					●	●	●	●	●	●	●	●															
Nonresident Manufacturer (Beer)					●	●	●	●	●	●	●	●	●														
Importer (Wine & Spirits)					●	●	●	●	●	●	●	●	●														
Importer (Beer)					●	●	●	●	●	●	●	●	●														
Wholesale (Beer)					●	●	●	●	●	●	●	●	●														
Wholesale (Wine & Spirits)*					●	●	●	●	●	●	●	●	●														
R E T A I L	Brew Pub		●	●										●			●	●	●		●	●	●	●	●	●	
	Retail Liquor Store													●							●						
	Liquor Licensed Drug Store																				●	●					
	Beer & Wine			●	●									●			●	●	●		●	●	●	●	●	●	
	Hotel & Restaurant		●	●	●									●			●	●	●		●	●	●	●	●	●	
	Tavern			●	●									●			●	●	●		●	●	●	●	●	●	
	Club**																				●	●					
	Arts			●	●										●	●	●	●	●		●	●	●	●	●	●	
	Race Track			●	●										●			●	●		●	●	●	●	●	●	
	Public Transportation-Air			●	●										●	●	●	●	●		●	●	●	●	●	●	
	Public Transportation-Surface			●	●										●	●	●	●	●		●	●	●	●	●	●	
	Optional Premises License			●	●										●			●	●		●	●	●	●	●	●	
	Retail Gaming Tavern			●	●										●			●	●		●	●	●	●	●	●	
	Vintner's Restaurant			●	●										●			●	●		●	●	●	●	●	●	

* Manufacturers of vinous and spirituous liquor may hold a wholesale license only for products of their own manufacture; pursuant to AG opinion.
 ** Any Colorado liquor licensee may be an officer or director of entity holding a club license, as long as such person does not individually manage or receive any financial benefit from the club.

Floor Plans Requirements for Liquor licensed premises according to BLA Rules of Procedure Section 3-3

Section 3-3 Plans & Specifications

The applicant shall file at the time of application plans and specifications for the interior of the building if the building to be occupied is in existence at the time. If the building is not in existence, the applicant shall file a plot plan and a detailed sketch for the interior and submit an architect's drawing of the building to be constructed.

Subsection 3-3-1 Plans & Specifications

All plans, specifications and detailed sketches or drawings shall be to scale on 8-1/2" x 11" paper and shall show the floor plan and layout of the interior of the building where the license is sought to be exercised.

If a liquor or fermented malt beverage on-premise license is applied for, the plans and specifications shall show, as a minimum, the following:

- (A) A separate page for each level or floor to be licensed;
- (B) Walls, partitions, entrances and exits;
- (C) Dimensions of the premises;
- (D) Clearly identify the nearest streets;
- (E) Indicate the North direction;
- (F) Clearly identify the bar(s);
- (G) Clearly identify where alcohol shall be stored;
- (H) Clearly identify any patio(s), if applicable;
- (I) Identify the type of barrier surrounding the patio, if applicable;
- (J) All tables and chairs;
- (K) List the total seating capacity and,
- (L) Where books and records will be stored.

If a hotel-restaurant license is applied for, plans and specifications shall, in addition to the above, show the following:

- (M) The total floor area where meals shall be served;
- (N) Location of all bar counters;
- (O) Size and dimension of the kitchen and other food preparation areas;
- (P) Location, number and kinds of ranges, stoves or ovens, refrigerators, food lockers, dishwashers, sinks and restrooms; and
- (Q) Location and dimension of food storage areas, and any other fixtures and equipment to be installed and used in connection with the preparation and serving of meals.

What Constitutes a licensed premises

Within the Colorado Liquor Code, "licensed premises" means the premises specified in an application for a license which are owned or in possession of the licensee within which such licensee is authorized to sell, dispense, or serve alcoholic beverages. The licensee must submit a diagram of the licensed premises at the time of application. The diagram should be on an 8-1/2"x 11" piece of paper, it may be hand drawn using a ruler; it does not have to be to scale but should state the outside dimensions of the structure. Additionally, the various rooms should be labeled with details of storage and serving areas, such as coolers, the bar, entrances, exits and passages.

The licensed premises must be clearly indicated. If located in a shopping center or "strip center" provide only a diagram of the area to be licensed, not the entire shopping center. If an establishment has several floors, a diagram should be submitted for each floor if they are substantially different. However, if the floors are essentially the same, such as might be the case in a hotel, then one diagram of the main floor accompanied with an additional diagram of a typical guest floor, stating that there are "x" number of similar floors will be satisfactory.

For someone who has never made a diagram of a licensed premises, it may be helpful to think of the lines of delineation as solid walls. With this in mind, it should be noted that alcohol must be stored within the licensed premises and that a "Hotel and Restaurant Liquor License" must also have a fully operational kitchen within the licensed premises. Keep in mind, that if an area is not part of the licensed premises, no alcohol can ever be in that area. Therefore, a licensed premises' rooms and/or passages must connect.

The licensee must at all times have legal possession of the licensed premises through ownership, lease or other written arrangement which must only be in the name of the licensee. Additionally, a licensed premises must also qualify under other laws and regulations such as zoning, health and fire codes.

Once an establishment is granted a license, the licensee may not materially or substantially alter the licensed premises without prior written approval from both the local and state licensing authorities. To "alter" means: any increase or decrease in the capacity of the establishment; moving, adding or increasing the size of a bar; the sealing off, creation of, or relocation of doors or passages. In short, a licensee may not change or modify the premises in such a way that would affect the basic character or physical structure as it was previously approved, unless new approval is obtained.



**CITY OF BOULDER
BEVERAGES LICENSING AUTHORITY
STATEMENT OF TRAINING**

The applicant hereby states that he/she understands the importance of being familiar with and complying with the Colorado Liquor Code and the Colorado Beer Code.

Therefore, with respect to applicant(s), management and all other employees who will have any connection or involvement with liquor or fermented malt beverages on the subject premises of this application, the applicant(s) state(s) the following:

All employees involved in the service of alcohol, including, without limitation, managers, clerks, bartenders, and waitpersons, shall attend a state-certified Responsible Vendor alcohol service class within three months from the approval of this license. New employees shall take a class within three months of their hire. Any employee participating in a Tastings must have already completed a state-certified Responsible Vendor alcohol service class. Training Certification must remain current for employees involved in the service of alcohol.

The applicant agrees that the above mentioned training shall be a continuing condition of the license if the Authority approves this application.

Oath of Applicant

I declare under penalty of perjury in the second degree that I acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor and Beer Codes and all City of Boulder rules, regulations and codes which affect my license.

Applicant

Trade Name

Authorized Signature

Print Name, Title & Date



**CITY OF BOULDER
BEVERAGES LICENSING AUTHORITY
STATEMENT OF FOOD SERVICE**

Pursuant to Section 12-47-411, C.R.S., as amended, the applicant hereby certifies that they have read and fully understand the following excerpts:

Hotel-Restaurant license

“Restaurants shall sell malt, vinous, and spirituous liquors as provided in this section only to customers of such restaurant and only if meals are actually and regularly served and provide not less than twenty-five percent (25%) of the gross income from sales of food and drink of the business of the licensed premises. It is the intent of this section to require hotel and restaurant licensees to maintain a bona fide restaurant business and not a mere pretext of such for obtaining a hotel and restaurant license.”

Brew-Pub license

“A brew pub licensee shall sell malt, vinous, and spirituous liquors for on-premises consumption only if at least fifteen percent (15%) of the gross on-premises food and drink income of the business of the licensed premises is from the sale of food.”

Pursuant to Regulation 47-418, the applicant certifies that he/she shall at all times, when meals are required to be served, maintain on the premises adequate personnel, foodstuffs and other necessary facilities, equipment and supplies for the preparation and serving of meals as defined by 12-47-103(20) C.R.S., as amended.

Oath of Applicant

I declare under penalty of perjury in the second degree that I acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor and Beer Code and all City of Boulder rules, regulations and codes which affect my license.

Applicant

Trade Name

Authorized Signature

Print Name, Title & Date



**CITY OF BOULDER
OCCUPATION TAX REGISTRATION**

This application must be filed in duplicate with the Office of the City Clerk, City of Boulder, 1777 Broadway, Boulder, Colorado 80306. ALL INFORMATION MUST BE PRINTED LEGIBLY IN INK OR TYPEWRITTEN. Effective immediately, all outstanding amounts must be paid prior to submittal of a transfer application. All new licenses will be prorated and collected prior to the issuance of the liquor license.

Name of Applicant(s): _____

Trade Name: _____

Business Location: _____

Contact Person: _____ Business Phone No: _____

Mailing address: _____
(If different from above)

Date you intend to start business: _____

Type of License Applying for:

New License Transfer of Ownership Change in Location

Type of License/Occupation Tax:

- | | |
|---|---|
| <input type="checkbox"/> 3.2% On Premises (\$400.00) | <input type="checkbox"/> Arts (\$1,284.00) |
| <input type="checkbox"/> 3.2% Off Premises (\$275) | <input type="checkbox"/> Hotel-Restaurant (\$3,253.00) |
| <input type="checkbox"/> 3.2% Beer On & Off Premises (\$400.00) | <input type="checkbox"/> Hotel-Restaurant (\$3,253.00)
w/Optional Premises |
| <input type="checkbox"/> Tavern (\$3,253.00) | <input type="checkbox"/> Retail Liquor Store (\$988.50) |
| <input type="checkbox"/> Beer and Wine (\$1,085.00) | <input type="checkbox"/> Brew Pub (\$3,253.00) |
| <input type="checkbox"/> Liquor Licensed Drug Store (\$988.50) | <input type="checkbox"/> Club (\$1,284.00) |

TRANSFER APPLICATIONS ONLY: If the application is for a transfer of ownership, the previous owners must fill out the following:

Licensee: _____ Contact Person: _____

Trade Name: _____

Business Location: _____ Business Phone No: _____

Mailing address: _____
(Please provide a different mailing address from the business location in case a refund needs to be issued)

OATH OF APPLICANT

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor and Beer Code and all City of Boulder rules, regulations and codes which affect my license. I hereby acknowledge that I have read and understand the attachment to this application.

Authorized Signature . Date

Print Name & Title

FOR OFFICE USE ONLY

For Transfers Only

Date Temporary License Issued: _____ Date Liquor License Issued: _____

Amount to Charge Applicant: _____ Amount to Charge Current Licensee: _____
(Prorated amount for current half of year)

Amount to Charge Applicant: _____ Amount to Adjust for Previous Licensee: _____
(Yearly amount due)

NOTES: _____

For New Licenses

Date Liquor License Issued: _____ Amount to Charge Applicant: _____
(Prorated amount for current half of year)

Amount to Charge Applicant: _____
(Yearly amount due)

For Change of Location Licenses

Date Liquor License Issued: _____ *****

*****Tax Department: Change of physical location only. Please verify mailing/billing address for your records.

**Liquor License
Occupation Tax
Attachment to Registration**

Pursuant to Title 3 Chapter 7 of the Boulder Revised Code all persons licensed to sell alcohol in the City of Boulder shall pay an occupation tax according to the type of license held. The fees are due and payable on the first day of January and the first day of June of each year that a valid liquor license is held. If a licensee goes out of business, occupation tax will be assessed until the State and Local licenses are surrendered to the Licensing Clerk. If a licensee wishes to transfer their liquor license, occupation tax will be assessed to the current owner until the new license is issued or a temporary license is issued. A new or temporary license is considered issued on the day that the Local Authority hand delivers or mails the liquor licenses to the new owner. For purposes of proration of taxes, the City will prorate on a monthly basis. If a license is issued/transferred from the 1st through the 15th of a month, it will be prorated back to the beginning of the month. If a license is issued/transferred from the 16th - 31st of a month, it will be prorated to the end of the month.

The taxes are as follows:

Retail Liquor Store	\$988.50
Liquor-licensed Drug Store	\$988.50
Beer & Wine License	\$1,085.00
Hotel-Restaurant License	\$3,253.00
Hotel-Restaurant License w/Optional Premises	\$3,253.00
Brew-Pub License	\$3,253.00
Tavern License	\$3,253.00
Club License	\$1,284.00
Arts License	\$1,284.00
3.2% On Premise	\$400.00
3.2% Off Premise	\$275.00
3.2% On/Off Premise	\$400.00

If a licensee fails to pay their occupation tax, the City of Boulder has the authority to seize personal property in order to satisfy the occupation tax debt.

TITLE 3 REVENUE AND TAXATION

Chapter 7 Occupation Tax 1

1 Adopted by Ordinance No. 4651. Derived from Ordinance Nos. 3887, 4130.

3-7-1 Legislative Intent.

The purpose of this chapter is to impose an occupation tax upon persons engaged in the business of manufacture or sale of malt, vinous, or spirituous liquor or fermented malt beverages in the city.

2 See Tom's Tavern v. City of Boulder, 526 P.2d 1328 (1974).

3-7-2 Imposition and Rate of Tax.

(a) No person licensed to manufacture or sell malt, vinous, or spirituous liquor or fermented malt beverage in the city shall fail to pay to the city manager an occupation tax upon the business of manufacturing or selling malt, vinous, or spirituous liquors or fermented malt beverages according to the following schedule:

(1) The holder of a malt, vinous, or spirituous liquor license shall pay an annual occupation tax as follows:

(A) Manufacturer's liquor license	2,957.50
(B) Wholesaler's liquor license	2,957.50
(C) Wholesaler's beer license	2,957.50
(D) Retailer liquor store license	988.50
(E) Liquor-licensed drug store	988.50
(F) Beer and wine license	1,085.00
(G) Hotel and restaurant license	3,253.00
(H) Brew pub license	3,253.00
(I) Tavern license	3,253.00
(J) Club license	1,284.00
(K) Arts license	1,284.00
(L) Race track license	2,957.50

(2) A fermented malt beverages licensee shall pay an annual occupation tax as follows:

(A) Consumption on the premises	400.00
(B) Consumption off the premises	275.00
(C) Consumption on and off the premises	400.00

(b)The occupation tax is due and payable to the city manager for each year for which a license has been obtained on the first day of January of each year, or as soon thereafter as the license is issued. If the city manager issues a beverage license for less than a full year, the manager shall prorate the occupation tax on the number of whole months remaining in the year. If a license under this chapter is issued for any applicant who previously paid an occupation tax for the current year for the exercise of a fermented malt beverage or liquor license, the manager shall prorate the tax and credit it to the new occupation tax applicable to the new license after the licensee surrenders the old license.

(c)No delinquency in the payment of the occupation tax imposed by this section is a ground for suspension or revocation of a fermented malt beverage or liquor license issued by the city or state.

(d)No person shall operate any malt, vinous, or spirituous liquor or fermented malt beverage establishment in the city unless such person has paid the appropriate occupation tax. Each day of operation in violation of this subsection constitutes a separate offense.

(e)Any person obligated to pay the tax imposed by this chapter may elect to pay the occupation tax in installments, one-half of the tax on or before January 1 of the year for which the tax is due and the remaining one-half on or before July 1 of the same year.

On any new license issued after January 1 but prior to July 1, such person may also make such an election.

(f)If a person obligated to pay the tax imposed by this chapter goes out of business or otherwise intends not to make use of its city or state license and so certifies to the city manager under oath, the manager shall refund a prorated amount of the occupation tax previously paid attributable to the time that the license will be unused, at the rate of one-twelfth of the fee for each whole month remaining in the year. No person shall make a false statement on such certificate.

(g)Payment of \$295.50 of the occupation tax for a license that did not possess an extended hours license as of June 30, 1997, shall be waived if the licensee submits an annual notarized statement to the city manager that malt, vinous, or spirituous liquor has not and will not be sold during the hours from 8:00 p.m. to 2:00 a.m. on Sundays and on Christmas Day.

Ordinance Nos. 5425 (1991); 5835 (1996); 5899 (1997).

3-7-3 Enforcement of Tax Liability.

(a)The occupation tax imposed by this chapter is a first and prior lien on tangible personal property in which the person responsible to remit the tax has an ownership interest, subject only to valid mortgages or other liens of record at the time of and prior to the recording of notice of tax lien as provided in Subsection 3-2-27(c), B.R.C. 1981.

(b)The provisions of Sections 3-2-27, A Tax Constitutes Lien, 3-2-29, A Sale of Business Subject to Lien, 3-2-30, A Certificate of Discharge of Lien, 3-2-31, A Jeopardy Assessment, 3-2-32, A Enforcing the Collection of Taxes Due (Applies to Entire Title), 3-2-33, A Recovery of Unpaid Tax by Action at Law, 3-2-34, A City May be a Party Defendant, 3-2-35, A Injunctive Relief, 3-2-36, A Obligations of Fiduciaries and Others, and 3-2-38, A Limitations, B.R.C. 1981, providing for enforcement of collection of taxes due, govern the authority of the city manager to collect the occupation tax imposed under this chapter.

Boulder Neighborhood Needs & Desire Petitioning

After you have completed your application and submitted it for consideration, the Licensing Office will set hearing dates for your neighborhood boundaries and for your application public hearing.

The neighborhood boundaries set are typically not less than 1/2 mile radius from the site proposed for a liquor license. The public hearing date will be not less than thirty (30) days from the date of receipt of your complete application by the Licensing Office.

The Beverages Licensing Authority (the "Authority") will set your neighborhood boundaries, usually at the hearing the month before your public hearing. You are welcome to attend your boundary setting hearing or to submit suggested boundaries, but you are not required to do so.

Before approving a liquor license application, the Authority must consider the reasonable requirements of the neighborhood and the desires of the adult inhabitants as evidenced by petitions, remonstrance, supporting witnesses or other evidence submitted by the applicant and by residents and business owners/managers of the designated neighborhood. In person witness testimony may be given at the hearing. Letters in favor or opposed to the application should be submitted prior to the hearing.

Although the law does not require that an applicant petition the neighborhood, it is the most common form of evidence presented. Signatures obtained from door to door petitioning must be from residents and business owners/managers of the Authority defined neighborhood. Please note that only door to door petitions will be considered by the Beverage Licensing Authority. For the resident petitions, all persons signing must be at least 21 years of age and reside in the neighborhood. For the business petitions, all persons signing must be at least 21 years of age and own and/or manage a business in the defined neighborhood. You must provide the Authority with sufficient evidence to support its findings that: 1) the reasonable requirements of the neighborhood establish a need for the issuance of the requested license, and 2) that the desires of the adult inhabitants dictate the issuance of the license. The Authority will also be looking to assure that a good sampling of the designated neighborhood was taken.

If you choose to use the petitioning method for proving neighborhood needs and desires, the "Resident Petition Form" and the "Business Petition Form" created by the Licensing Office must be used in this process. Each door to door petitioner must carry and show at the door a copy of the "Designated Neighborhood Map" and the "Existing Licenses List" provided by the Licensing Office. The prepared "Petition Results Summary" should also be included with your petition signature pages to summarize the results.

Each petitioner who has collected petition results door to door must include a signed "Affidavit of Circulator" that is notarized indicating that he/she personally witnessed each signature appearing on the petition and that, to the best of his/her knowledge, each signature is the signature of the person whose name it purports to be and that the address given opposite the person's name is the true residential or business address of the person signing the petition. Failure to affix a completed affidavit of circulator, including notarization, may cause petition invalidity concerns to be raised by the Authority.

There are professional petitioning firms that you may contract with; however, the decision to use any such firm is entirely yours. If you choose a professional petitioning firm, they must also use the prepared petition forms and results summary. This information is meant only as a guideline provided as a courtesy by the City of Boulder. Applicants are encouraged to consult a private attorney for answers to legal questions or concerns.





**CITY OF BOULDER
TEMPORARY LIQUOR LICENSE
APPLICATION PENDING TRANSFER
OF OWNERSHIP APPROVAL**

This application must be filed in duplicate as part of a Transfer of Ownership with Boulder Licensing Office, 1777 Broadway, P. O. Box 791, Boulder, Colorado 80306. ALL INFORMATION MUST BE PRINTED LEGIBLY IN INK OR TYPEWRITTEN.

Name of Applicant(s): _____

Trade Name: _____

Phone No: _____ Contact Person: _____

Business Address: _____

Mailing address: _____

Current Licensee: _____ Contact Person: _____

Liquor License Number: _____ Expiration Date: _____

Type of License: _____

REQUIREMENTS FOR ISSUANCE

- Complete Transfer of Ownership Application
- Applied For Within Thirty (30) Days of Original Application
- State of Colorado Sales Tax License/Application – Copy
- City of Boulder Sales Tax License/Receipt – Copy
- Boulder County Health Dept. Inspection Report – Contact
- Boulder Fire Dept. Inspection (Call 303-441-3353 to arrange) - Copy
- Boulder Police Dept. Background Check Completed by City
- No Modifications to Premises
- Sales Tax Account Must be Current and Properly Paid (Call 303-441-4026 for questions)
- Occupation Tax Account Must be Current and Properly Paid (Call 303-441-3068 for questions)
- \$100 Fee – Made Payable to: “City of Boulder”

If there are any inconsistencies with the background check or an incomplete application has been filed, the City Licensing office reserves the right to refer the temporary license issuance to Beverages Licensing Authority.

CONSENT OF LICENSEE (Seller)

I consent to the transfer of the above referenced liquor license.

Authorized Signature Date

Print Name & Title

OATH OF APPLICANT (Buyer)

I acknowledge that it is my responsibility and the responsibility of my agents and employees to have knowledge of and comply with the provisions of the Colorado Liquor and Beer Code and all City of Boulder rules, regulations and codes which affect my license.

Authorized Signature Date

Print Name & Title

CITY OF BOULDER BUSINESS LICENSE APPLICATION
SALES/USE TAX-ADMISSIONS-ACCOMMODATIONS LICENSE

City of Boulder- Finance Department
Tax and License Office, #303-441-3050
Mail: P.O. Box 791, Boulder, CO 80306
Location: 1777 Broadway, 1st Fl. Boulder, CO
Email: licensing@bouldercolorado.gov

Official Use Only:

Zoning: _____
Zoning Review: Approved ____ Denied ____
Signature: _____
Comments: _____
Acct # _____ NAICS _____ GEO _____

Business Name: _____

Business Address: _____

1) LICENSE TYPE (PLEASE SELECT ALL LICENSES THAT APPLY AND MAKE CHECK TO CITY OF BOULDER):

- Sales and Use Tax License (\$25) - For reporting purposes, please check all categories that apply:
 Retail Restaurant Wholesale Contractor Service Manufacturing
- Admission License (add \$25)
- Accommodation License (add \$25)

2) BUSINESS INFORMATION

Start of Business Operation in Boulder ____ / ____ / ____ (Month/Day/Year – REQUIRED)

Was this City of Boulder business purchased? Yes No * If Yes, please provide the following:

Prior License No. _____ Date of Acquisition: _____

Was personal property purchased from former owner? Yes No

Was Sales/Use tax paid on assets purchased? Yes No

Physical Business Address (No PO Boxes) _____

City/State/Zip _____

*If physical business location is INSIDE CITY LIMITS of City of Boulder, please complete ZONING REVIEW QUESTIONS

Type of Business (Check One):

- Sole Proprietor Corporation Limited Liability Company
 Partnership Limited Liability Partnership Other (Explain: _____)

Business Name _____

Trade Name ("Doing Business As") _____

Business Phone _____ Fax _____ E-Mail _____

Is your business home based (including Cottage Food Businesses)? Yes No

State Tax License # _____

(You will also need to contact Colorado Department of Revenue for a State Sales Tax License)

Federal ID# or Social Security # _____

Nature of Business (describe in depth): _____

3) FILING PERIOD (Please indicate which filing period applies as dictated by our local law):

- Monthly-Taxes due of \$300 or more per month Quarterly- Taxes due of \$299 or less per month
 Annual- Taxes due of \$15 or less per month

4) OWNER/OFFICER INFORMATION

Owner/Officer Name (Last, First) _____

Address _____

City/State/Zip _____

Phone _____ Fax _____ E-Mail _____

5) MAIL TO AND CONTACT PERSON/COMPANY INFORMATION

Contact Name (Last, First) _____

Address _____

City/State/Zip _____

Phone _____ Fax _____ E-Mail _____

6) ZONING REVIEW QUESTIONS (please complete the below zoning questions section only if your physical business location is **INSIDE THE CITY OF BOULDER CITY LIMITS**):

Existing Use of Property: _____

Size of Business (in square feet): _____

Days & Hours of Operation: _____

Use Category (if known): _____

For **Home Based** Businesses, including Cottage Food Businesses:

Is this business located in your personal residence? Yes No

If yes, the conditions in Boulder Revised Code (BRC) Chapter 9-6-3(e) Home Occupations must be met and abided by. Please review page 3 regarding BRC Chapter 9-6-3(e) and please confirm with your initials:

I agree to the terms in BRC Chapter 9-6-3 (e) _____ (applicant's initials)

For **Restaurant** businesses: No. of Interior Seats: _____ Outdoor Patio Size (sq. ft.) _____ No. of Patio Seats: _____

Location: i.e. "The Hill", "Pearl Street Mall" _____

For any encroachments into the public right of way, a revocable lease or permit from the city will be required.

Will you allow the consumption of alcohol on your business premise? Yes No

If yes, you must obtain a liquor license from the state and city for alcohol service prior to the sale/service of alcohol.

See: <https://bouldercolorado.gov/tax-license/liquor-licensing>

For **Marijuana** businesses:

New License or Existing Premise Modification Medical or Recreational Number of Rooms: _____

Use Category (please check one): Marijuana business, personal service Marijuana business, Manufacturing

Marijuana business, Greenhouse/Nursery Marijuana business, Testing

You must obtain a separate marijuana business license prior to starting operations in the City of Boulder.

See: <https://bouldercolorado.gov/finance/marijuana-businesses>

PLEASE NOTE: IT MAY TAKE UP TO 4 WEEKS FOR LICENSURE. Pearl Street Mall Permits, Contractor licenses or separate additional Regulatory Licenses may be required in addition to this city business license to lawfully operate. Additional licenses are required for the following business types: Auction, Circus & Carnival, Itinerant Merchant for Door-to-Door Sales, Alcohol Sales or Service, Marijuana businesses, Mobile Food Vehicles, and Secondhand Dealer/Pawnbroker. See: <https://bouldercolorado.gov/tax-license>

7) SIGNATURE OATH FOR ALL APPLICANTS: I declare under penalty of perjury, that this application has been examined by me and that the statements made herein are to the best of my knowledge and belief, true, correct and complete. I also understand that there may be additional reviews required to complete the planning and zoning review process.

Signature: _____ Title: _____ Date: _____

INFORMATION FOR HOME BASED BUSINESSES legal requirements:

Boulder Revised Code 9-6-3 Specific Use Standards - Residential Uses.

(e) Home Occupations:

- (1) Standards: A home occupation is a permitted accessory use if the following conditions are met:
 - (A) Such use is conducted entirely within a principal or accessory building and is not carried on by any person other than the inhabitants living there.
 - (B) Such use is clearly incidental and secondary to the residential use of the dwelling and does not change the residential character thereof.
 - (C) The total area used for such purposes does not exceed one-half the first floor area of the user's dwelling unit.
 - (D) There is no change in the outside appearance of the dwelling unit or lot indicating the conduct of such home occupation, including, without limitation, advertising signs or displays.
 - (E) There is no on-site sale of materials or supplies except incidental retail sales.
 - (F) There is no exterior storage of material or equipment used as a part of the home occupation.
 - (G) No equipment or process is used in such home occupation that creates any glare, fumes, odors or other objectionable condition detectable to the normal senses at the boundary of the lot if the occupation is conducted in a detached dwelling unit, or outside the dwelling unit if conducted in an attached dwelling unit.
 - (H) No traffic is generated by such home occupation in a volume that would create a need for parking greater than that which can be accommodated on the site or which is inconsistent with the normal parking usage of the district.
- (2) Cottage Food and Fresh Produce Exception. A home occupation use meeting the requirements of Chapter 6-17 "Cottage Foods and Fresh Produce," B.R.C. 1981, is exempt from the requirements of subparagraphs (1)(A), (D), (E), (F) and (G) above. Gardens are exempt from subparagraph (C) above. Such use shall be permitted as an allowed use in all zone districts in which a home occupation is permitted as a conditional use.
- (3) Prohibitions: No person shall engage in a home occupation except in conformance with all of the requirements of Paragraph (e)(1) of this section, except as provided in Paragraph (e)(2) of this section.

**CITY OF BOULDER
IMMIGRATION STATUS AFFIDAVIT**

SECTION 1: IDENTIFICATION DOCUMENTS

I, _____, currently lawfully possess and am able to produce upon request the following identification document as evidence of my lawful presence in the United States (check one):

- Valid Colorado driver's license or a Colorado identification card issued by the Department of Revenue
- United States military card or a military dependent's identification card
- United States Coast Guard Merchant Mariner card
- Native American tribal document
- Other Document Allowed By Emergency Rules (*Only allowed until March 1, 2007*)

List the identification number from the document you are relying upon to show your lawful presence in the United States (for example, your driver's license number):

SECTION 2: CITIZENSHIP AFFIDAVIT

See State of Colorado Affidavit Form



AFFIDAVIT - RESTRICTIONS ON PUBLIC BENEFITS

I, _____, swear or affirm under penalty of perjury under the laws of the State of Colorado that (check one):

- I am a United States citizen.
- I am not a United States citizen but I am a Permanent Resident of the United States.
- I am not a United States citizen but I am lawfully present in the United States pursuant to Federal law.
- I am a foreign national not physically present in the United States.

I understand that this sworn statement is required by law because I have applied for a public benefit. I understand that state law requires me to provide proof that I am lawfully present in the United States prior to receipt of this public benefit. I further acknowledge that making a false, fictitious, or fraudulent statement or representation in this sworn affidavit is punishable under the criminal laws of Colorado as perjury in the second degree under Colorado Revised Statute 18-8-503 and it shall constitute a separate criminal offense each time a public benefit is fraudulently received.

Signature

Date